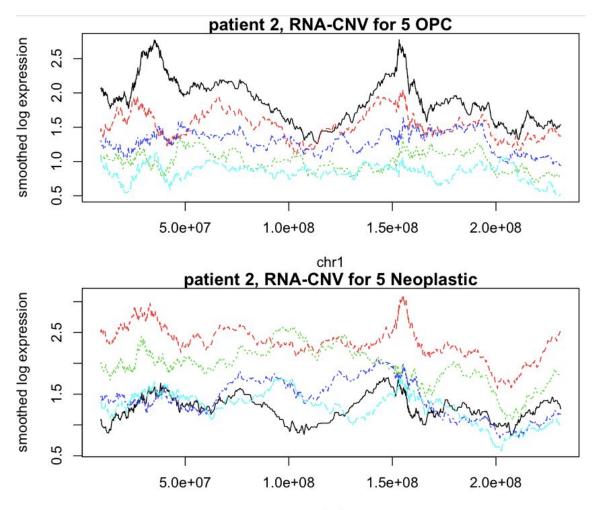
# Approaching mastery of distance, dimension reduction, clustering and classification in genomic applications

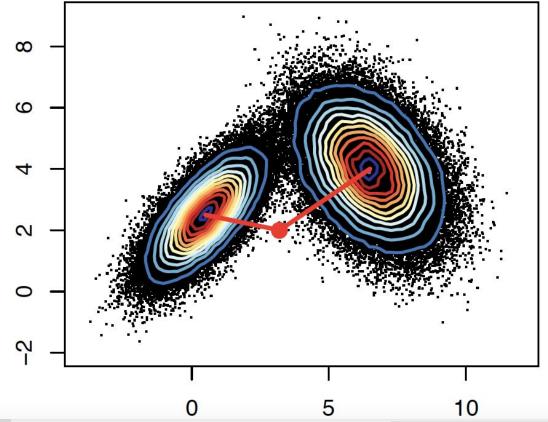
VJ Carey, PhD CSAMA 2019 Bressanone IT



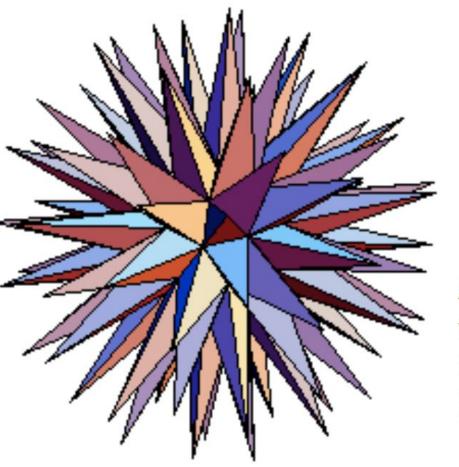
- x axis: genomic coordinate on chr1
- y axis: expression smoothed over windows of ~100 genes
- OPC: oligodendrocyte precursor cells
- What approach to measuring cell-cell distance should be used?
- How would you go about feature selection for classifying cells?

## Mastery:

- n. comprehensive knowledge or skill in a particular subject or activity
- mastery of distances?
- From <u>Holmes and Huber</u>
   <u>MSMB</u>: to which cluster
   center is the red dot closest?



- **Mathematics** of the 19th century: е minimize the role of geometric intuition (Dedekind, Hilbert)
- Is it reasonable to make use of spatial intuition in biology?



An attempt to visualize a 7-dim hypercube (2<sup>7</sup> = 128 corners)

http://yaroslavvb.blogspot.com/2006/05/curse-of-dimensionality-and-intuition.html

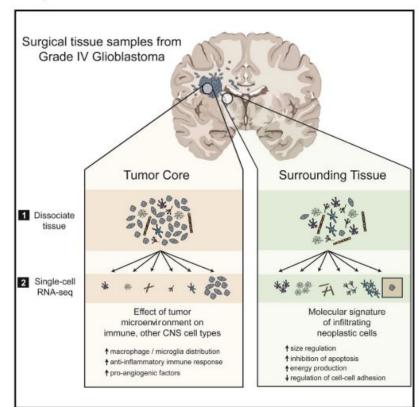
## Road map

- Case study: single-cell RNA-seq in glioblastoma
- Distances and the curse of dimensionality
- Dimension reduction and feature engineering
- Options and figures of merit in cluster analysis
- Concepts of supervised learning
- kipoi.org: an archive of trained models

# Cell Reports, 2017

### Single-Cell RNA-Seq Analysis of Infiltrating Neoplastic Cells at the Migrating Front of Human Glioblastoma

### **Graphical Abstract**



### Authors

Spyros Darmanis, Steven A. Sloan, Derek Croote, ..., Ben A. Barres, Melanie Hayden Gephart, Stephen R. Quake

### Correspondence

quake@stanford.edu

### In Brief

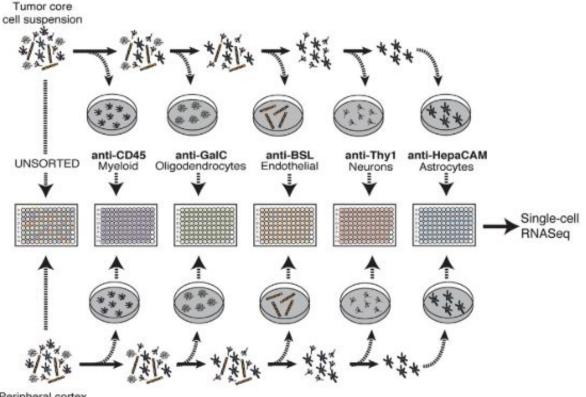
Darmanis et al. perform single-cell transcriptomic analyses of neoplastic and stromal cells within and proximal to primary glioblastomas. The authors describe a population of neoplasticinfiltrating glioblastoma cells as well as a putative role of tumor-infiltrating immune cells in supporting tumor growth.

## Design summary

- 3500 cells from glioblastoma samples from four patients (IDH1-negative)
- Cells isolated from tumor core and periphery, immunopanned to increase diversity of cell types
- Smart-seq2 scRNA-seq on all cells
  - t-SNE+k-means used to identify 12 clusters
  - biological identity of clusters inferred via signature assessment
  - smoothing of expression profiles used to obtain CNV profiles
  - hierarchical clustering of CNV profiles exposes distinctions of neoplastic and non-neoplastic cells
  - differential expression to obtain signature of infiltrating cells

## Cell selection via immunopanning

Goal: "encompassing the entirety of the tumor and peritumor cellular landscape that is often blurred in bulk sequencing studies or insufficiently sampled in prior single cell studies"



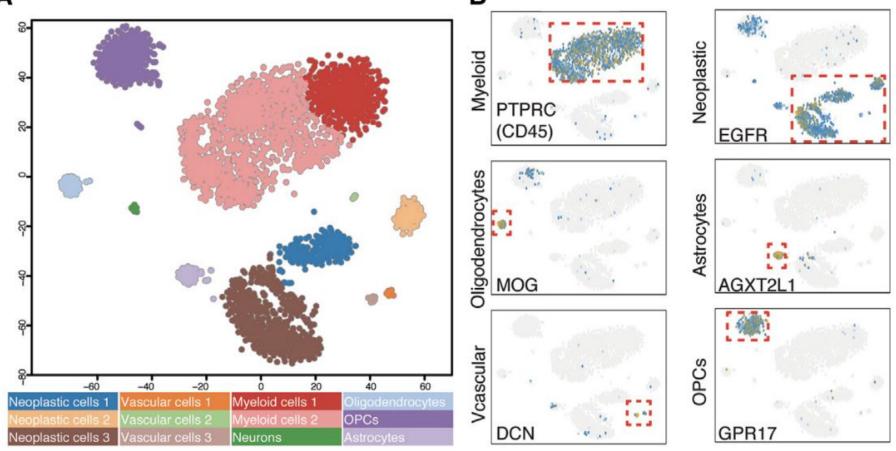
Peripheral cortex cell suspension

### Setup for dimension reduction (Darmanis 2017 Figure 2)

To visualize the transcriptomic landscape across all sequenced single cells, used dimensional reduction to we generate a two-dimensional (2D) map of the 3,589 single cells that passed quality control (QC) (Figure S1B; Table 1), performing an analysis similar to that of Darmanis et al. (2015). In brief, we selected genes with the highest over-dispersion (n = 500) and used them to construct a cell-to-cell dissimilarity matrix. We then performed t-distributed stochastic neighbor embedding (tSNE) on the resulting distance matrix to create a 2D map of all cells. Finally, we used k-means clustering on the 2D tSNE map, resulting in the identification of 12 distinct cell types within separate clusters (Figure 2A).

- Feature selection via PAGODA pathway-oriented overdispersion metric
- dissimilarity metric is d(x,y) = 1- cor(x,y) where x and y are vectors of expression measures over all samples
- t-SNE perplexity set to 50

### Figure 2 of Darmanis 2017; OPC = oligodendrocyte precursor cell

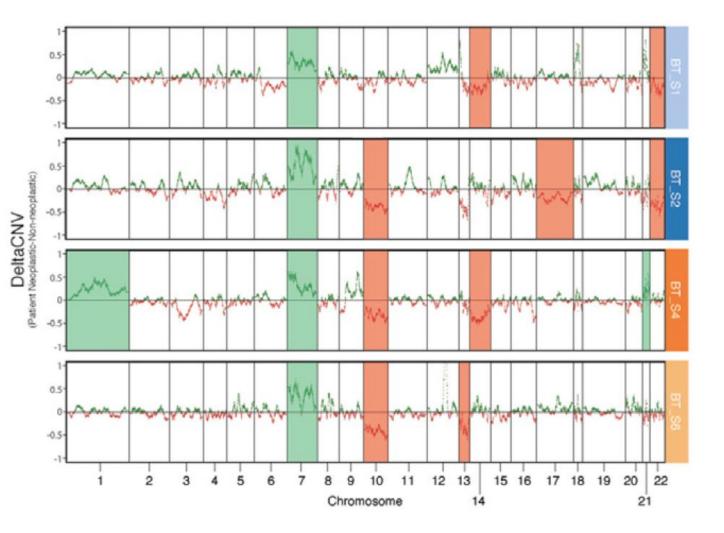


Α

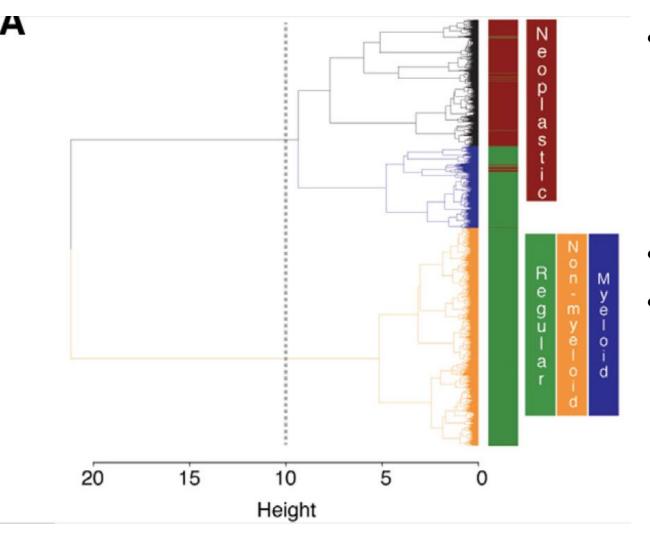
### Single-cell CNV profiling via single-cell RNA-seq [supplement]

### CNV analysis

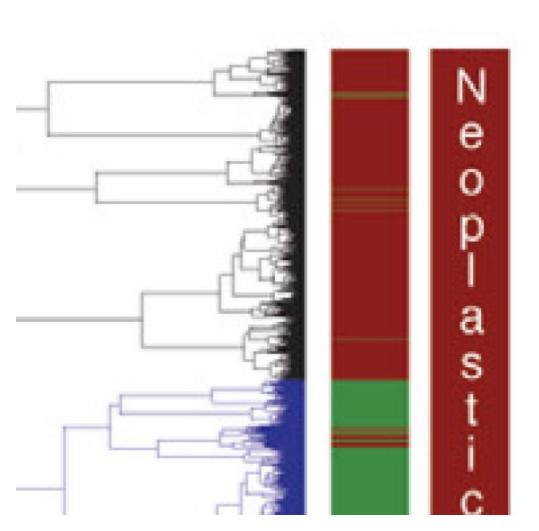
We constructed CNV vectors for each single cell based on gene expression data. Given the nature of RNAseq data, CNV profiles cannot be calculated using the same approach as when genomic DNA data are available. Instead, one can use the gene expression information to infer over- or under- expression of big genomic regions that might correspond to chromosomal amplification or deletion events. To calculate CNV profiles for each single cell we used a similar approach to (Patel et al., 2014) and (Tirosh et al., 2016). Briefly, we sorted all genes based on their genomic location and calculated a CNV vector for every cell. The CNV vector is a moving average of gene expression using a window of 0.1\*n genes per chromosome, where n is the total number of genes on that chromosome. The resulting CNV vectors of each cell were centered by subtraction of their mean prior to any downstream analysis.



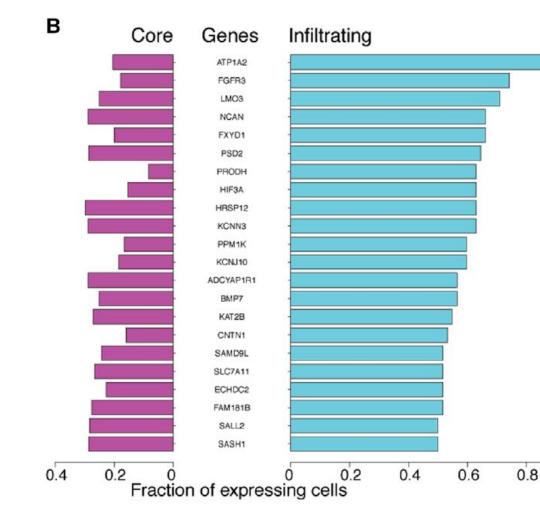
- Four patients
- RNA-seq profile for each cell is smoothed
- For each patient, average for non-neoplastic cells is subtracted from average for neoplastic cells
- The cell-specific
   CNV profiles are
   used to form a
   measure of
   structural (as
   opposed to
   transcriptomic)
   distance between
   cells, for
   hierarchical
   clustering



- "The resulting dendrogram was composed of three primary branches (Figure 3A): one (CNV 1) consisted exclusively of neoplastic cells, whereas the remaining two contained the majority of non-neoplastic cells."
- Details of hierarchical clustering not discussed
- Options include the form of distance/dissimilarity, method of agglomeration, criterion of labeling (cutting the tree)



- Upon magnification, it appears that there are numerous non-neoplastic cells (green bars) in the branch colored black or brown
- Authors report low misclassification rates, and there are other approaches to confirming the plausibility of the CNV profiling reported in the paper



Major result:

A set of genes characteristic of the infiltrating cells (boundary of tumor)

DESeq2 declared 1000/250 genes down/upregulated comparing peripheral to core

This list involves genes expressed in more than 50% of infiltrators but fewer than 30% of core

Where do "50%" and "30%" come from?

# Summary

- t-SNE dimension reduction leads to groupings of cells that can be rationalized in terms of brain and tumor anatomy
- k-means clustering was used in the 2-d space
- smoothing expression vectors over genomic coordinates leads to RNA-seq based CNV profiles for each cell
- hierarchical clustering was used with these CNV profiles, and distinguished groups of neoplastic and non-neoplastic cells
- cells on tumor periphery have a distinct expression signature that is rationalized by GO categories, etc.

## Questions

- Is a reduction to two dimensions sufficient for what we want to do?
- Should we consider alternatives to the distance d(x,y) = (1-cor(x,y)) underlying the t-SNE rendering in the paper?
- Should we consider alternatives to t-SNE for dimension reduction? Is tuning (e.g., setting of perplexity and "learning rate") worth exploring?
- Is there a tuning aspect of the hierarchical clustering of cell-specific CNV profiles worth exploring?

To start to address these questions, we will start to work with the Darmanis data in a certain structure produced by Charlotte Soneson in the CONQUER [consistent quantification for RNA-seq data] system

## Comments

- I don't know the exact set of 500 genes used by Darmanis, as they were identified using PAGODA's overdispersion metric, so I select ~700 genes ordered by overall s.d. across all samples (omitting some with very large s.d.s that disrupt reasonable visualization strategies)
- The result of Rtsne (code to be shown) with minimal tuning recapitulates aspects of the Darmanis published display, and constitutes a sanity check for the various tasks of deriving and analyzing the data separately from the authors
- I use the GEO-based labeling of cells -- I do not have the classes asserted in the published figures
- We can now explore sensitivity of the t-SNE procedure to tuning parameter selection
- We can now explore effects of choosing other dimension reduction approaches for this analysis task

## RNA-seq quantifications: I use "count-scale length-scaled TPM"

from conquer "about" tab:

### Data summarisation

The abundances estimated by Salmon are summarised and provided to the user via *conquer* in the form of a MultiAssayExperiment object. This object can be downloaded via the buttons in the **MultiAssayExperiment** column. To generate this object, we first use the tximport package to read the Salmon output into R. This returns both count estimates and TPM estimates for each transcript. Next, we summarise the transcript-level information to the gene level. The gene-level TPM is defined as the sum of the TPMs of the corresponding transcripts, and similarly for the gene-level counts. We also provide "scaled TPMs" (see http://f1000research.com/articles/4-1521/ or the tximport vignette for a discussion), that is, summarised TPMs scaled to a "count scale". In the summarisation step, we make use of the transcript-to-gene lookup table generated above.

The provided MultiAssayExperiment object contains two "experiments", corresponding to the gene-level and transcript-level values. The gene-level experiment contains four "assays":

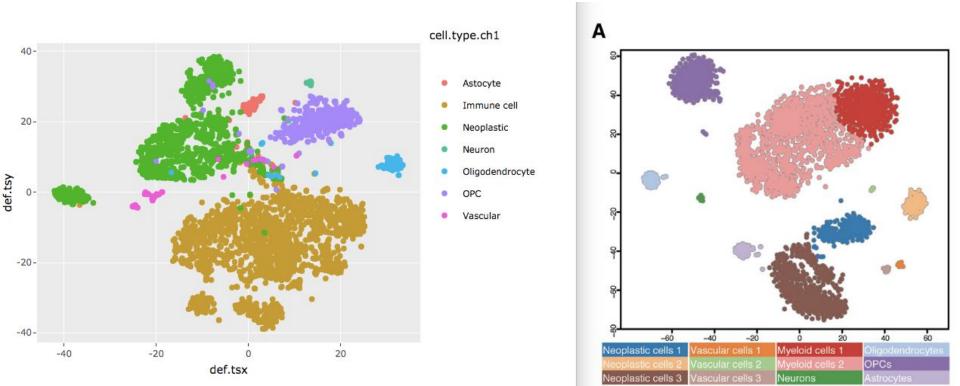
- **TPM**
- count
- count\_lstpm (count-scale length-scaled TPMs)
- avetxlength (the average transcript length, which can be used as offsets in count models based on the count assay, see http://f1000research.com/articles/4-1521/).

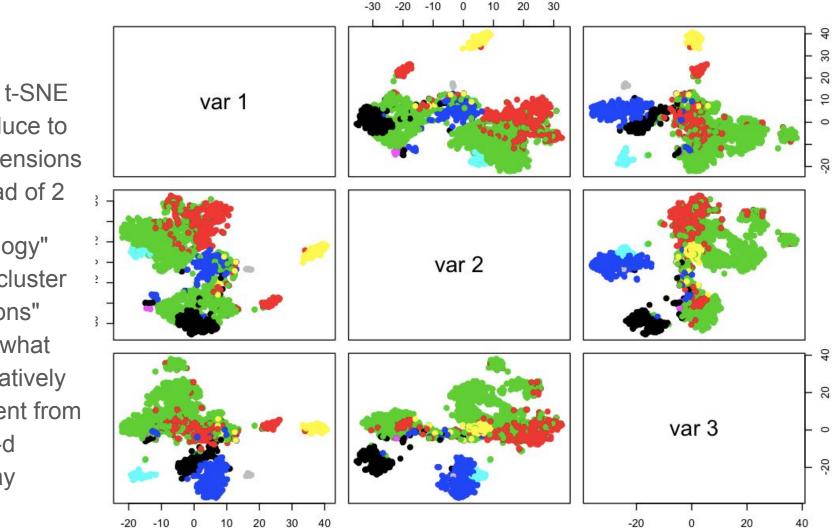
# A basic representation of the Darmanis 2017 data after extraction from CONQUER

```
> locdarm
class: RangedSummarizedExperiment
dim: 65218 3584
metadata(0):
assays(1): count lstpm
rownames(65218): ENSG000000003.14 ENSG000000005.5 ... ERCC-00170
  ERCC-00171
rowData names(3): gene genome symbol
colnames(3584): GSM2243439 GSM2243440 ... GSM2247076 GSM2247077
colData names(59): title geo accession ... tsne.cluster.ch1 well.ch1
```

Reduction to ~700 genes using s.d. over all samples is elementary ... rowSds and [

Left: default Rtsne on the 'conquer' quantifications for 739 genes; GEO notations Right: as published in Darmanis 2017 Layouts different but concordant in various ways (three groups of 'neoplastic' [green], 'myeloid/immune' is 'largest' group, etc.)





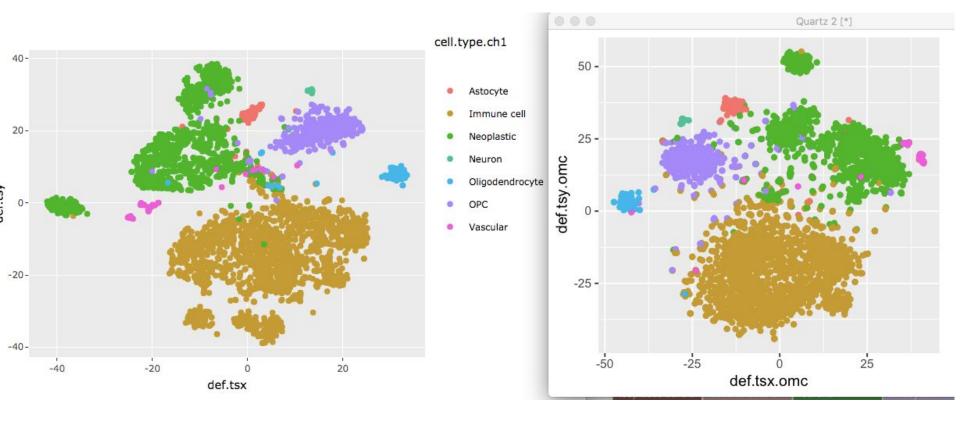
Allow t-SNE to reduce to 3 dimensions instead of 2

"topology" and "cluster relations" somewhat qualitatively different from the 2-d display

## A dynamic graphic addressing this concern

try vjccc::spin\_tsne()

## Back to 2D: Left: Rtsne default euclidean distance Right: Use 1-cor distance and is\_distance=TRUE



## Caveats

- A trio of researchers from Google wrote a 'distill' paper
- <u>https://distill.pub/2016/misread-tsne/</u>
- Let's scroll quickly through it
- An issue for sensitivity analysis -- exploring various parameter settings -- is that the algorithm can take time to converge, you don't know when it has converged, and the hyperparameter space is potentially large

# Can t-SNE have any value **at all** in complex biological systems?

- Depends on the objective
- "Proof of concept":
  - Winner of Merck Viz challenge 2011 (kaggle docs taken down?)
  - MNIST -- "digit separation"
  - flow cytometry *identifications* recapitulated with RNA-seq
- Essential assumption
  - a low dimensional structure exists and can be found with the iterative computation of 'similarities' leading to a minimum in the t-SNE objective function -- global minimum need not exist
  - the tuning parameters are properly selected

from the original paper by van der Maaten and Hinton:

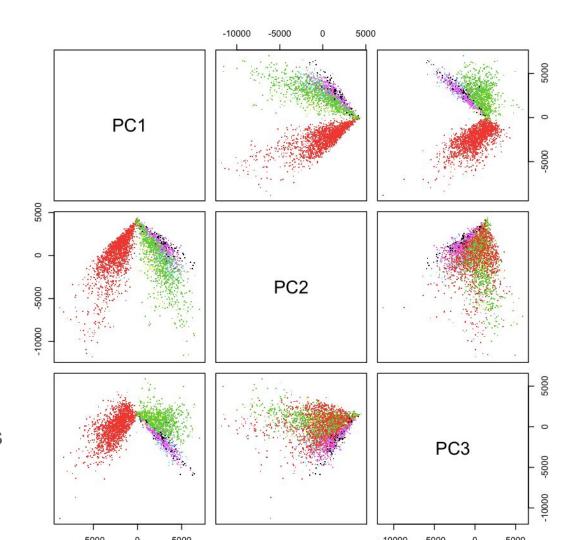
2) Curse of intrinsic dimensionality. t-SNE reduces the dimensionality of data mainly based on local properties of the data, which makes t-SNE sensitive to the curse of the intrinsic dimensionality of the data (Bengio, 2007). In data sets with a high intrinsic dimensionality and an underlying manifold that is highly varying, the local linearity assumption on the manifold that t-SNE implicitly makes (by employing Euclidean distances between near neighbors) may be violated. As a result, t-SNE might be less successful if it is applied on data sets with a very high intrinsic dimensionality (for instance, a recent study by Meytlis and Sirovich (2007) estimates the space of images of faces to be constituted of approximately 100 dimensions). Manifold learners such as Isomap and LLE suffer from exactly the same problems (see, e.g., Bengio, 2007; van der Maaten et al., 2008). A possible way to (partially) address this issue is by performing t-SNE on a data representation obtained from a model that represents the highly varying data manifold efficiently in a number of nonlinear layers such as an autoencoder (Hinton and Salakhutdinov, 2006). Such deep-layer architectures can represent complex nonlinear functions in a much simpler way, and as a result, require fewer datapoints to learn an appropriate solution (as is illustrated for a *d*-bits parity task by Bengio 2007). Performing t-SNE on a data representation produced by, for example, an autoencoder is

# How does PCA reduce dimensionality?

pca1 =
prcomp(t(assay(se)))

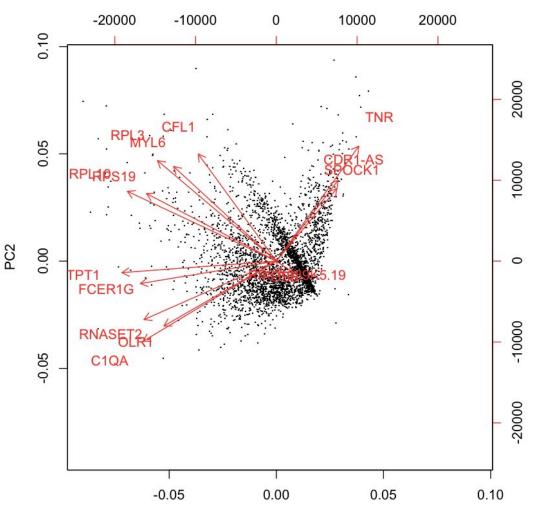
Here se is the 739 gene subset of Darmanis CONQUER

pairs(pca1\$x[,1:3], ...) [color is declared cell type]



## biplots are useful but manual intervention often needed

Here I used elements of pca1\$rotation to identify genes with relatively large 'loadings' and recomputed PCA with this subset to get a simpler biplot



DOI

## In what sense is PCA "feature engineering"?

For column-centered data matrix X, we can derive PCs using the singular value decomposition

$$X_{nxp} = UDV^{t}$$

in which columns of U are the PCs and columns of (orthonormal) V are loadings; D is diagonal with elements measuring variances of the corresponding PCs. Elements of columns of U are new features formed by linear combination of columns of X:  $XVD^{-1} = U$  ... and we use magnitudes of elements of D to determine how many PCs are "needed" to approximate variation in X

## Comparing approaches to dimension reduction

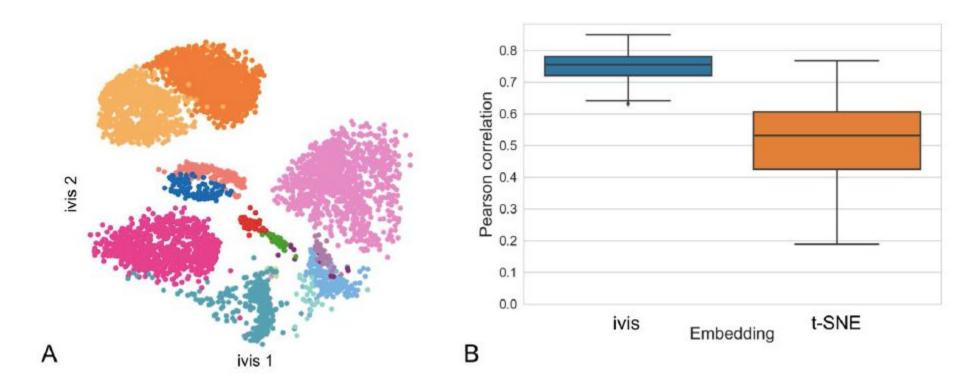
# SCIENTIFIC REPORTS

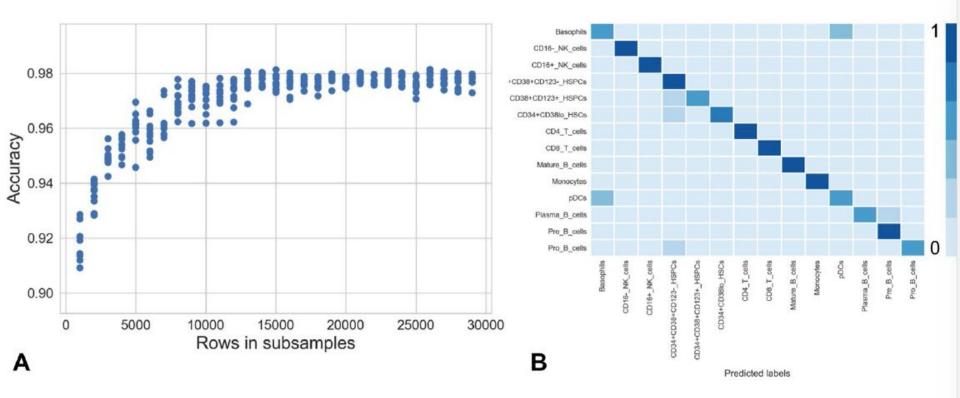
Received: 31 August 2018 Accepted: 24 May 2019 Published online: 20 June 2019

# OPEN Structure-preserving visualisation of high dimensional single-cell datasets

Benjamin Szubert<sup>1</sup>, Jennifer E. Cole<sup>2</sup>, Claudia Monaco<sup>2</sup> & Ignat Drozdov<sup>1</sup>

Single-cell technologies offer an unprecedented opportunity to effectively characterize cellular heterogeneity in health and disease. Nevertheless, visualisation and interpretation of these multidimensional datasets remains a challenge. We present a novel framework, ivis, for dimensionality reduction of single-cell expression data. ivis utilizes a siamese neural network architecture that is trained using a novel triplet loss function. Results on simulated and real datasets demonstrate that ivis preserves global data structures in a low-dimensional space, adds new data points to existing embeddings using a parametric mapping function, and scales linearly to hundreds of thousands of cells. ivis is made publicly available through Python and R interfaces on https://github.com/beringresearch/ivis. ivis compared to t-SNE: correlating distances between asserted cluster centers and centers given by manually gating in cyTOF



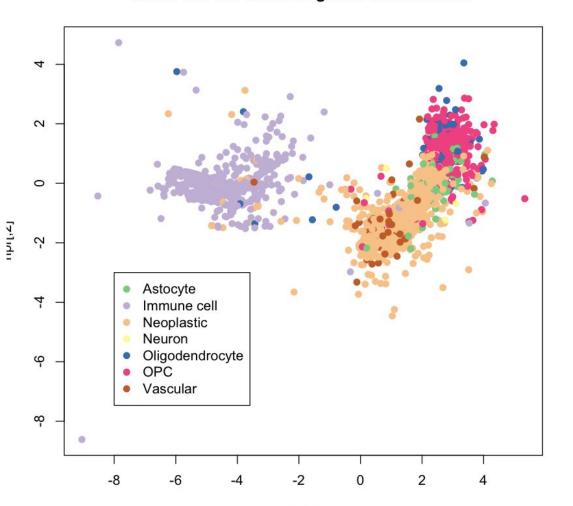


**Figure 6.** Random forest classifier performance on ivis embeddings inferred from independent subsets of healthy human BMMC data. (**A**) Scatterplot depicting accuracy of a random forest classifier when trained on embedded subsets of varying size. The experiments for each subset size were repeated ten times. (**B**) Confusion matrix for a single random forest classifier trained on a subset of 10.000 embedded data-points and validated or

If ivis is actually superior to t-SNE at accurately exhibiting lower-dimensional structures from high-dimensional data, then it might be concluded from this display that the tumor cells acquired in the Darmanis study divide into only two transcriptomically-defined groups

However tuning and selection of target dimensionality demand additional attention

### basic ivis run with 739 genes on 3584 cells



## Use and figures of merit for cluster analysis

 Basic measure of cluster coherence: silhouette For each observation i, the \_silhouette width\_ s(i) is defined as follows: Put a(i) = average dissimilarity between i and all other points of

the cluster to which i belongs (if i is the \_only\_ observation in its cluster, s(i) := 0 without further calculations). For all \_other\_ clusters C, put d(i,C) = average dissimilarity of i to all observations of C. The smallest of these d(i,C) is b(i) := \min\_C d(i,C), and can be seen as the dissimilarity between i and its "neighbor" cluster, i.e., the nearest one to which it does \_not\_ belong. Finally,

s(i) := (b(i) - a(i)) / max(a(i), b(i)).

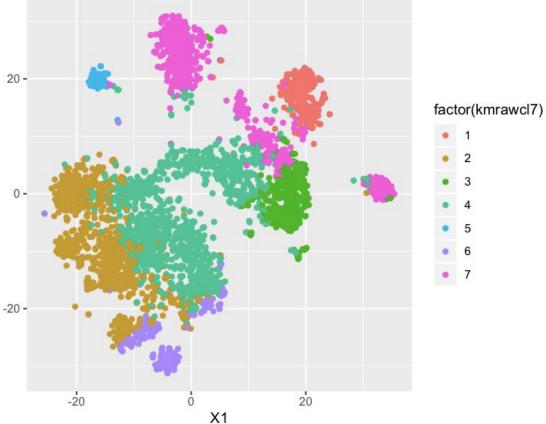
'silhouette.default()' is now based on C code donated by Romain Francois (the R version being still available as 'cluster:::silhouette.default.R').

Observations with a large s(i) (almost 1) are very well clustered, a small s(i) (around 0) means that the observation lies between two clusters, and observations with a negative s(i) are probably placed in the wrong cluster.

## Order of dimension reduction and clustering

Typical procedure is to use clustering after dimension reduction?

If we already reduce  $\gtrsim$  0the feature set to hundreds of genes **before** dimension reduction, we might cluster with those ... example to right



# "Classification" -- lots of material ready to hand for self-study

https://web.stanford.edu/~hastie/ElemStatLearn/printings/ESLII\_print12.pdf

instead we take a quick look at reusable deep learning!

### **BRIEF COMMUNICATIONS**

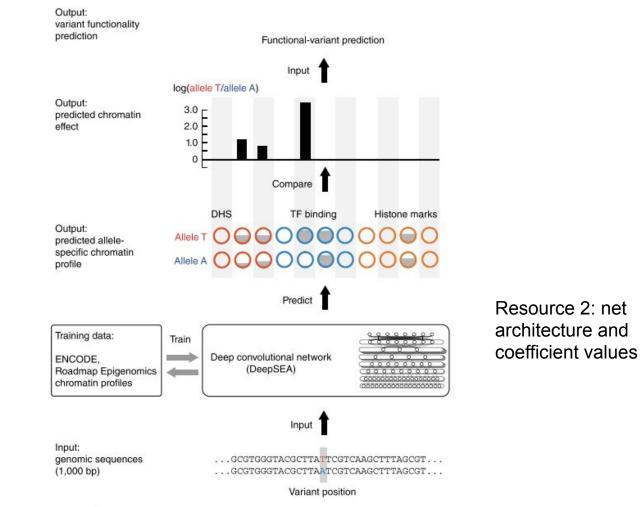
# Predicting effects of noncoding variants with deep learning-based sequence model

Jian Zhou<sup>1,2</sup> & Olga G Troyanskaya<sup>1,3,4</sup>

Identifying functional effects of noncoding variants is a major challenge in human genetics. To predict the noncoding-variant effects *de novo* from sequence, we developed a deep

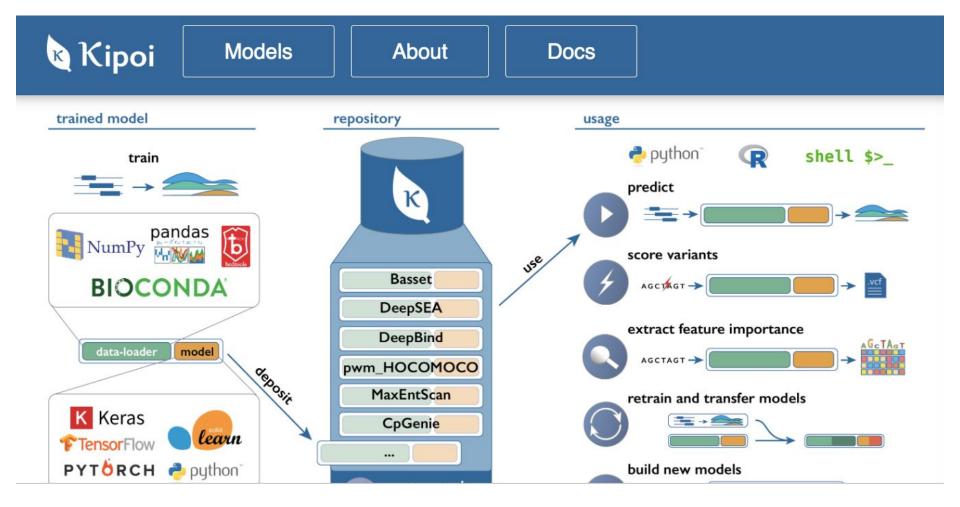
TF binding depends upon sequence beyond traditionally defined motifs. For example, TF binding can be influenced by cofactor binding sequences, chromatin accessibility and structural flexibility of binding-site DNA<sup>6</sup>. DNase I–hypersensitive sites (DHSs) and histone marks are expected to have even more complex underlying mechanisms involving multiple chromatin proteins<sup>7,8</sup>. Therefore, accurate sequence-based prediction of chromatin features requires a flexible quantitative model capable of modeling such complex dependencies—and those predictions may then be used to estimate functional effects of noncoding variants.

To address this fundamental problem, here we developed a fully sequence-based algorithmic framework, DeepSEA (deep learning– based sequence analyzer), for noncoding-variant effect prediction. We first directly learn regulatory sequence code from genomic



**Figure 1** | Schematic overview of the DeepSEA pipeline, a strategy for predicting chromatin effects of noncoding variants.

### Resource 1: a large number of epigenomic reference resources



### **DeepSEA**/variantEffects

#### Troyanskaya

License: CC-BY 3.0

Contributed by: Roman Kreuzhuber 🖓

Cite as:

https://doi.org/10.1038/nmeth.3547

#### Postprocessing: variant\_effects

Trained on: Chromosome 8 and 9 were excluded from training, and the rest of the autosomes were used for training and validation. 4,000 samples on chromosome 7 spanning the genomic coordinates 30,508,751-35,296,850 were used as the validation set. Data were ENCODE and Roadmap Epigenomics chromatin profiles https://www.nature.com/articles/nmeth.354

#### Source files O

This CNN is based on the DeepSEA model from Zhou and Troyanskaya (2015). The model has been converted to a pytorch model on a modified version of

https://github.com/clcarwin/convert\_torch\_to\_pytorch Model outputs can only be used directly for variant effect prediction. For sequence predictions use the DeepSEA/predict model in order to reproduce results from the DeepSEA website. It categorically predicts 919 cell type-specific epigenetic features from DNA sequence. The model is trained on publicly available ENCODE and Roadmap Epigenomics data and on DNA sequences of size 1000bp. The input of the tensor has to be (N, 4, 1, 1000) for N samples, 1000bp window size and 4 nucleotides. Per sample, 919 probabilities of a specific epigentic feature will be predicted.

#### CLI python R

Create a new conda environment with all dependencies installed	
<pre>kipoi env create DeepSEA/variantEffects source activate kipoi-DeepSEA_variantEffects</pre>	СОРУ
Install model dependencies into current environment	
kipoi env install DeepSEA/variantEffects	СОРУ
Test the model	
kipoi test DeepSEA/variantEffectssource=kipoi	COPY

CLI	python	R			
Get the	model				
kipoi	y(reticula <- import( <- kipoi\$g		variantEffects')	СОРУ	
Make a	prediction f	or example files			
<pre>predictions &lt;- model\$pipeline\$predict_example()</pre>				СОРУ	
Use dat	taloader and	model separately			
dl_kwa # Get dl <- u # get it <- u # pred batch	rgs <- mode the dataloo model\$defar a batch ite dl\$batch_it ict for a l <- iter_ne	der lt_dataloader(dl_k rator er(batch_size=4) atch	der\$download_example( <mark>'exampl</mark> e	е")	
Make p	redictions fo	r custom files directly	/		
pred <- model\$pipeline\$predict(dl_kwargs, batch_size=4) copy					

- Couldn't be easier to get acquainted with capabilities of 'deep learning'
- downloads to set up models and infrastructure can take some time
- inter-language interface can be opaque

## Upshots

- Various resources for model definition and fitting stored in zenodo and AWS S3
- interfaces to tensorflow etc. set up for use in CLI, python, R
- understanding how to
  - deploy against new data
  - update model with new reference data
  - contribute de novo models to this reusability framework
  - $\circ$  exercises!
- I have observed that some models of interest don't work as advertised, but deepSEA example did work. Could be a continuous integration issue

# Summary

- Case study: single-cell RNA-seq in glioblastoma
   use CONQUER, try Rtsne, smoothing expression, etc.
- Distances and the curse of dimensionality
  - many ad hoc approaches, check sensitivity to assumptions
- Dimension reduction and feature engineering
  - t-SNE, ivis, PCA -- biplots are interpretable
  - framework for comparing feature engineering methods is urgently needed but very hard

- Options and figures of merit in cluster analysis
- Concepts of supervised learning -- use ESL\_II
- kipoi.org: an archive of trained models